

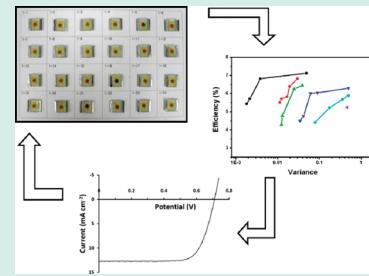
# Genetic Algorithm-Assisted Optimization of Nanoporous $\text{TiO}_2$ for Low-Temperature Processable Photoanodes of Dye-Sensitized Solar Cells

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 Supporting Information

**ABSTRACT:** Genetic algorithm (GA), a promising optimization process in Heuristics, has proven to be a powerful tool in controlling the nanostructure of low-temperature processable photoanodes in dye-sensitized solar cells (DSSC). For photoanodes that are composed of various sizes of  $\text{TiO}_2$  nanoparticles and multiwalled carbon nanotubes in a double-layer configuration, the best composition was determined based on the objective functions of cell efficiency ( $\eta$ ) and its variance. The latter function was chosen since  $\text{TiO}_2$  dispersions with no organic binders often aggravated the efficiency of reproducibility. From a total of 64,536 cases, 24 different cases (6 samples prepared for each composition) per generation were selected, and their objective functions were compared. GA was effective in the optimization of photoanodes, and resulted in a cell efficiency of  $7.3 \pm 0.2\%$  with a short circuit current of  $13.8 \pm 0.4 \text{ mA cm}^{-2}$ , an open circuit voltage of  $0.737 \pm 0.006 \text{ V}$ , and a fill factor of  $71.8 \pm 0.6\%$  after 3 generations. The  $\eta$  of  $7.3 \pm 0.2\%$  is the highest value for low-temperature processable dye-sensitized solar cells prepared without further treatment of  $\text{TiO}_2$  films to enhance interparticle connections.



**KEYWORDS:** genetic algorithm, dye-sensitized solar cell, photoanode

Beginning with the pioneering work by Grätzel and O'Regan in 1991,<sup>1</sup> dye-sensitized solar cells (DSSCs) have been under extensive research and development.<sup>2</sup> As a result, single cells showing about 10% power conversion efficiency ( $\eta$ ) can be routinely prepared under ambient conditions. To successfully market DSSCs by replacing the current solar energy devices or electric power sources, however, other aspects other than a high  $\eta$  must be taken into account. The development of a low-temperature process for  $\text{TiO}_2$  films is one of the important aspects since it enables the fabrication of flexible DSSCs on a plastic substrate via printing, which opens up new applications for DSSCs.<sup>3</sup>

The exclusion of a high-temperature sintering procedure for  $\text{TiO}_2$  films does not allow for the usage of polymeric binders. This inevitably leads to difficulties in the formation of interparticle connections in  $\text{TiO}_2$  as well as for the control of pore sizes, which results in slow electron transport through  $\text{TiO}_2$  films and/or inconsistent  $\text{I}_3^-$  diffusion through an electrolyte medium. To circumvent this barrier, various strategies such as the incorporation of  $\text{TiO}_2$  nanotubes,<sup>4</sup> the mechanical compression of  $\text{TiO}_2$  films,<sup>5</sup> and the electrophoretic deposition of  $\text{TiO}_2$ <sup>6</sup> have been reported via a low-temperature process. Arakawa et al. dealt with the  $\eta$  of 7.6% by pressurizing  $\text{TiO}_2$  films,<sup>5a</sup> but the typical performances of low-temperature DSSCs with no post-treatment of  $\text{TiO}_2$  films are still far lower than those of conventional high-temperature DSSCs.<sup>7</sup> The incorporation of multiwalled carbon nanotubes (MWNT) into  $\text{TiO}_2$  also has been investigated, and it has revealed that the  $\eta$  of low-temperature DSSCs can be

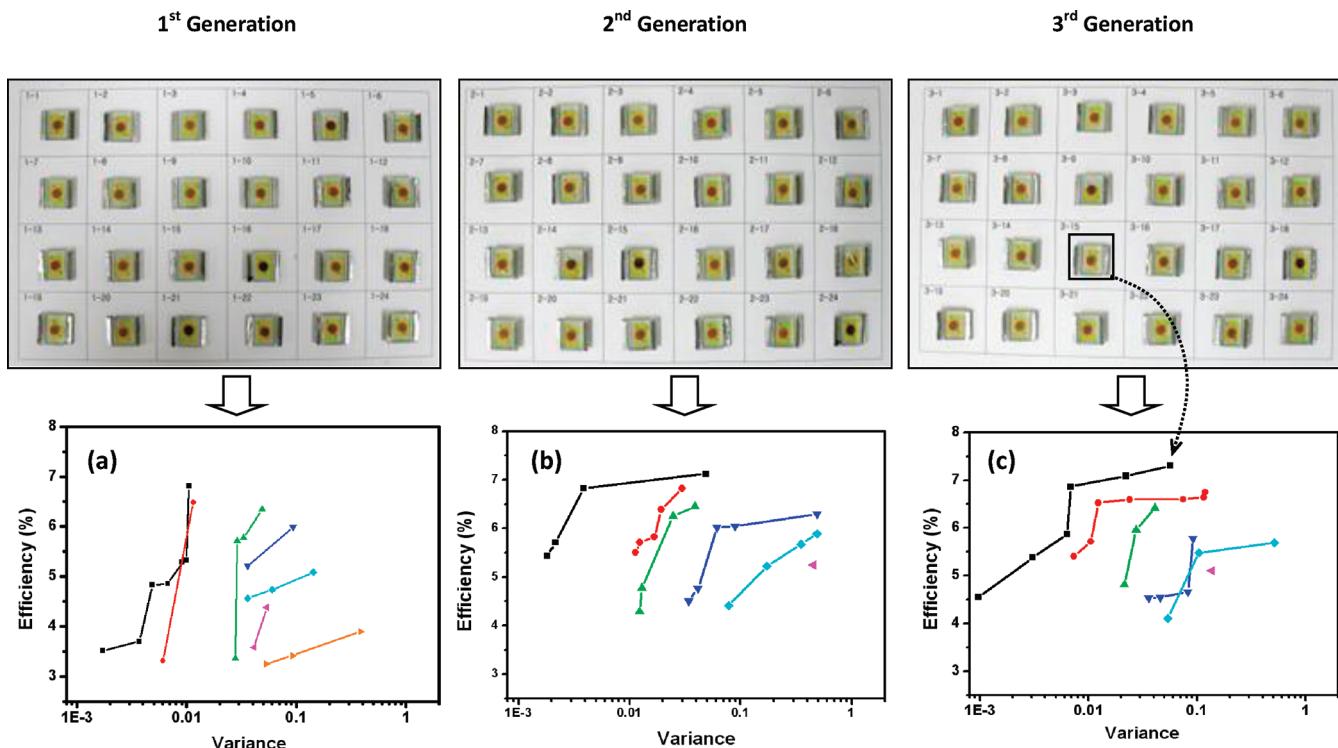
increased up to 5.02% at 0.1 wt % MWNT loading.<sup>8</sup> However, the involvement of the aforementioned additional treatments would countermand the merit of a low-temperature process in terms of cost savings. In this regard, the aim here was to develop a DSSC with an  $\eta$  that is greater than those reported thus far by excluding all additional processes with the exception of optimizing  $\text{TiO}_2$  composition.

Despite the importance of a low-temperature process for  $\text{TiO}_2$  nanostructures, no previous work has comprehensively investigated the effect of  $\text{TiO}_2$  composition on the performance of low-temperature DSSCs. This may be because it has generally been assumed that the optimal  $\text{TiO}_2$  composition accomplished through a high-temperature process was also valid for low-temperature DSSCs. That is not the case, however, since  $\text{TiO}_2$  produced under low-temperature possesses loosely interconnected  $\text{TiO}_2$  particles, which leads to inferior electron transport properties. Therefore, the optimization of nanostructures for a low-temperature  $\text{TiO}_2$  may be of more importance than it is for a high-temperature  $\text{TiO}_2$ . The high-temperature  $\text{TiO}_2$  nanostructure that has been extensively investigated by many research groups for the past several decades is already considered to be optimized.<sup>9</sup> However, the development of low-temperature  $\text{TiO}_2$  nanostructures is in the early stages and has received less attention, with the exception of the incorporation of one-dimensional

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**Figure 1.** Pareto frontiers obtained for each generation by plotting  $\eta$  versus variance graphs and photographs of (a) 1st, (b) 2nd, and (c) 3rd generation. Each Pareto frontier is shown in a unique color, e.g., 1st and 2nd Pareto frontiers are shown in black and red, respectively, and data points are also shown to illustrate niche sharing.

nanostructures.<sup>4,8</sup> Accordingly, it would take a long time to optimize a low-temperature  $\text{TiO}_2$  nanostructure if the procedure for a high-temperature  $\text{TiO}_2$  nanostructure were used.

Rather than optimize low-temperature  $\text{TiO}_2$  by standard trial-and-error methods, we adopted genetic algorithm and pseudo high-throughput processes to make it possible to optimize a low-temperature  $\text{TiO}_2$  nanostructure in a relatively short time frame. Genetic algorithm (GA) is one of the most efficient stochastic optimization strategies employed to solve multidimensional problems,<sup>10</sup> and has been previously used to develop new materials and catalysts.<sup>11</sup> For instance, we have identified luminescent multicompositional inorganic compounds using a GA-assisted combinatorial materials search (GACMS).<sup>11e,11f</sup> For the optimization of low-temperature  $\text{TiO}_2$  nanostructures we designed a processing parameter space consisting of 65,536 discrete combinatorial sets. The processing parameters were the size and composition of  $\text{TiO}_2$  nanoparticles, the fraction of MWNT, and the volume of solvent in a bilayer structure (for details, see the Experimental Procedures section and the Supporting Information). Because it is not possible to track all the 65,536 possible combinations, the use of GA was necessary to optimize processing conditions and reach a maximum  $\eta$ .

Error-free experimental processes are also very important in GACMS because evaluation of an unknown objective function is performed only by actual experimentation, such as synthesis, and by subsequent characterization or measurement. Experimental evaluation of an unknown objective function ( $\eta$  in this case) always gives rise to experimental error or inconsistency. Thus, it is reasonable to regard the variance of measured objective function values as a function of the decision parameters (processing parameters in this case). In the present study,

therefore, the variance was considered to be an unknown objective function that should be minimized during the GACMS process. A new strategy was required to handle two different objective functions simultaneously, the materials property of concern ( $\eta$  in this case) and its variance. Non-dominated sorting genetic algorithm (NSGA)<sup>12</sup> enabled determination of this issue in a systematic way. In this regard, we used an NSGA-assisted combinatorial materials search (NSGACMS) to simultaneously minimize variance and to maximize  $\eta$  and thereby to get a set of optimized nanostructures for  $\text{TiO}_2$  at low temperature. The NSGA process involving both the material properties and the experimental inconsistency (variance in this case) was described in greater detail in our previous report.<sup>11e,11f</sup>

The randomly chosen 24 compositions for the first generation were iteratively processed 6 times. The  $\eta$  values of 6 duplicate films for each composition were measured, and the average and the variance of the  $\eta$ 's were processed for the 4 highest samples out of the 6. This was done because we occasionally (at most 2 out of 6 duplicates) observed very low efficiency values, which were below half of the average of the others. We assumed that this sort of failure was mostly due to experimental error. Therefore, we uniformly skipped 2 samples from every case for the sake of systematic comparisons, hoping to eliminate the vast majority of the erroneous measurements. The observed variance was then assumed to originate mostly from intrinsic inconsistencies, defined as an error that could be neither easily identified nor controlled.<sup>11e,11f</sup>

An important issue for NSGA is Pareto optimality,<sup>12a</sup> which determines the relative dominance of the  $\eta$  and the variance. The goal of multiobjective optimization is to find a set of non-dominated solutions (ideally with a good spread) by use of

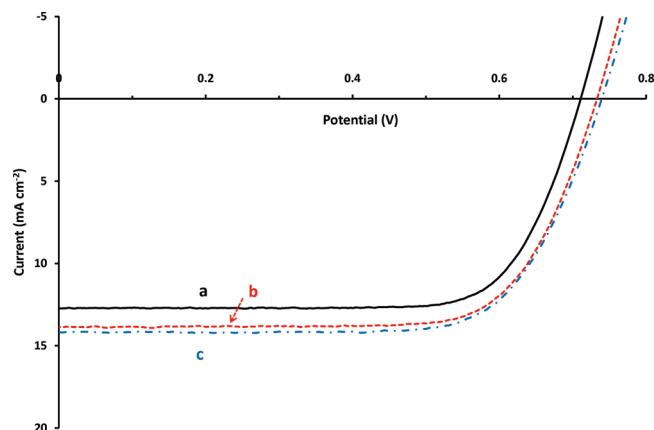
GA. For this purpose, Srinivas and Deb<sup>12a</sup> proposed NSGA, which is based on several layers of classification for the individuals. The main purpose of NSGA is to obtain the first Pareto frontier that is located in a more promising area and more widely and evenly spread. In this respect, it is clear that our NSGA succeeded, as described below. NSGA does not involve the pursuit of a weighted sum of two objective functions; rather, its purpose is the simultaneous optimization of two objective functions to find a group of solutions (the first Pareto) in a wide range.

Prior to the selection process, the population was ranked based on dominance (using Pareto sorting or ranking); all non-dominated individuals were classified into one category with a dummy fitness value. Pareto sorting designates the iterative elimination of the Pareto frontier from the total population until nothing remains. Through this process, NSGA yielded the second and third generations, and the Pareto-sorted results are shown in Figures 1 (b) and (c), respectively. It should be noted that we had a quite high  $\eta$  even in the randomly chosen first generation, which amounted to 6.8%. This indicated that a stochastic process compensated for the limitations of any knowledge-based trial errors. Starting with such an unexpectedly high value, the  $\eta$  evolved further as it progressed to later generations. After 3 generations, DSSCs showing an  $\eta$  of  $7.3 \pm 0.2\%$  with a variance of 0.06 were constructed (sample 3–15), implying that our NSGACMS process worked properly. The overall variance range that we experienced in the entire NSGACMS process was acceptable.

For samples 3–15, the short circuit current ( $J_{sc}$ ), the open circuit voltage ( $V_{oc}$ ), and the fill factor (ff) were  $13.8 \pm 0.4$  mA cm<sup>-2</sup>,  $0.737 \pm 0.006$  V, and  $71.8 \pm 0.6\%$ , respectively. The  $\eta$  value of  $7.3 \pm 0.2\%$  is the highest value to be reported for low-temperature processable photoanodes prepared with no further treatment of TiO<sub>2</sub> to enhance interparticle connections (e.g., pressurization<sup>5</sup> or UV/ozone treatment<sup>13</sup>). The bottom layers for samples 3–15 were composed of 0.85 g of 21 nm TiO<sub>2</sub>, 0.15 g of 140 nm TiO<sub>2</sub>, and 0.1 mg MWNT and the top layers were composed only of 1.0 g of 21 nm TiO<sub>2</sub>. The change of objective functions with each generation are described in Supporting Information.

The J-V curves for the DSSCs with the highest  $\eta$  at each generation are presented in Figure 2. The curves were taken from the samples possessing the second highest  $\eta$  among the 6 duplicates, which was close to the average values for cell parameters. Both  $J_{sc}$  and  $V_{oc}$  were improved with each iterative generation,  $J_{sc}$  going from 12.7 to 14.2 mA cm<sup>-2</sup> and  $V_{oc}$  was increased from 0.71 to 0.74 V. On the other hand, ff slightly decreased from 76.5 to 71.1%, resulting in an increase of  $\eta$  from 6.91 to 7.45%.

Figure 3 shows the FESEM sectional image of the photoanode prepared from 0.85 g 21 nm of TiO<sub>2</sub>, 0.15 g of 140 nm TiO<sub>2</sub>, and 0.1 mg of MWNT dispersed in 8.0 mL of ethanol for the bottom and 1.0 g of 21 nm TiO<sub>2</sub> in 20 mL of ethanol for the top (sample 3–15). The bottom and top layers were about 9.2 and 3.1  $\mu$ m thick, respectively. In all generations, the thicknesses ranged from 3 to 10  $\mu$ m for the bottom and 3.0–3.5  $\mu$ m for the top, depending on the TiO<sub>2</sub> compositions and the volume of ethanol. Figure 3 also shows that 140 nm of TiO<sub>2</sub> and MWNT were well dispersed in the bottom layer. Note that MWNT exists as a single strand with no formation of bundles. The magnified view in Figure 3c indicates the presence of a single nanotube, the diameter of which was similar to that of MWNT (25 nm).



**Figure 2.** J-V curves for the composition of the highest  $\eta$  at (a) 1st, (b) 2nd, and (c) 3rd generation.  $\eta$  (%),  $J_{sc}$  (mA cm<sup>-2</sup>),  $V_{oc}$  (V), and ff (%) were 6.91, 12.7, 0.71, and 76.5 and 7.34, 13.9, 0.73, 72.3 for (b), and  $73.7 \pm 0.9$  for (a) and 7.45, 14.2, 0.74, and 71.1 for (c).

Figure 3 suggests that a homogeneous TiO<sub>2</sub> dispersion was maintained within the time duration of TiO<sub>2</sub> film preparation, which is a critical factor for the reproducible production of TiO<sub>2</sub> film.

The inclusion of a MWNT of 0.01 wt %, relative to the total mass of TiO<sub>2</sub> (1.0 g) in samples 3–15, was not surprising. It is generally accepted that a well-dispersed MWNT at an appropriate concentration improves electron transport.<sup>14</sup> The reported values for the low-temperature DSSCs ranged within a few hundredths wt %.<sup>8</sup> This was also the case in our double-layer configuration as the electrochemical impedance spectroscopic results show in Figure 4. It is well-known that the middle semicircle is related to the back electron transfer for the TiO<sub>2</sub>/dye/electrolyte interface and for electron transport resistance through TiO<sub>2</sub>.<sup>15</sup> Although the latter can be ignored at  $V_{oc}$  in conventional DSSCs, the lack of sufficient interconnection for low-temperature TiO<sub>2</sub> makes it significant.<sup>16</sup> Figure 4 is consistent with this situation, showing that the dc resistance of middle semicircles was reduced from  $23 \Omega$  to  $15 \Omega$  for DSSCs prepared (a) with and (b) without MWNT.

Unexpectedly, while the bottom layer of sample 3–15 contained 15 wt % 140 nm TiO<sub>2</sub>, the top layer consisted of 21 nm TiO<sub>2</sub> only. This stands in contrast to the usual incorporation of large-particle TiO<sub>2</sub> into a top layer for light scattering during high-temperature synthesis.<sup>17</sup> The reason for this temperature-dependent difference between TiO<sub>2</sub> particle sizes is not clear at this point, but it appears to imply that the dominant factor determining  $\eta$  in low-temperature TiO<sub>2</sub> is electron transport. Note that the photoanode of samples 1–21 (the highest  $\eta$  in first generation) also did not contain large TiO<sub>2</sub> particles in the top layer. We are currently investigating the reasons for this difference in greater detail.

In summary, we applied NSGA for the first time in the optimization of photoanode compositions in a low-temperature process. The results showed that NSGA worked successfully for the simultaneous optimization of  $\eta$  and its variance. After 3 generations, the best cell revealed an  $\eta$  of  $7.3 \pm 0.2\%$ , which is the highest ever reported with no post-treatment of TiO<sub>2</sub> films. The optimal composition of MWNT in the bottom layer and the optimal thickness of the TiO<sub>2</sub> film (bottom and top) were similar to that found in high-temperature processing, but the content of 140 nm TiO<sub>2</sub> was quite different from conventional expectations.

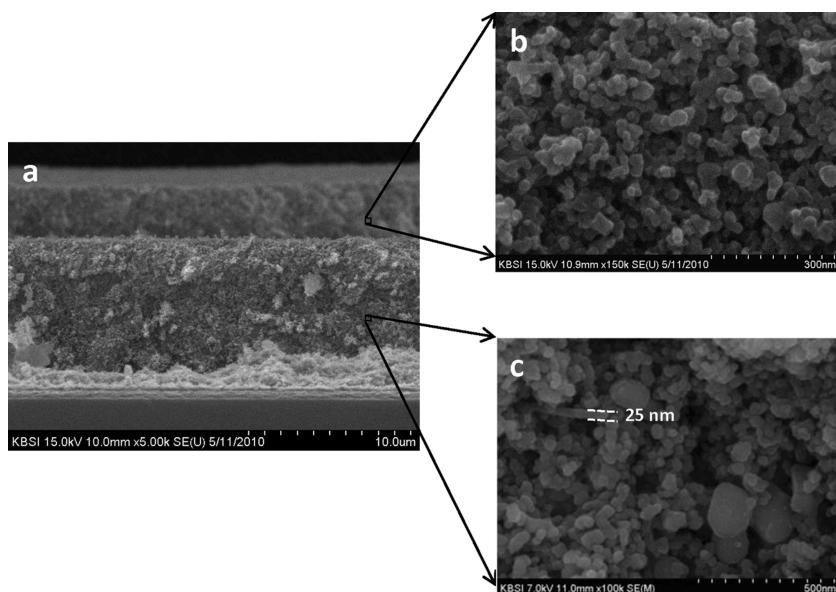


Figure 3. FESEM images for a section of sample 3–15. The layer thickness of the bottom and the top are about 9.2 and 3.1  $\mu\text{m}$ , respectively.

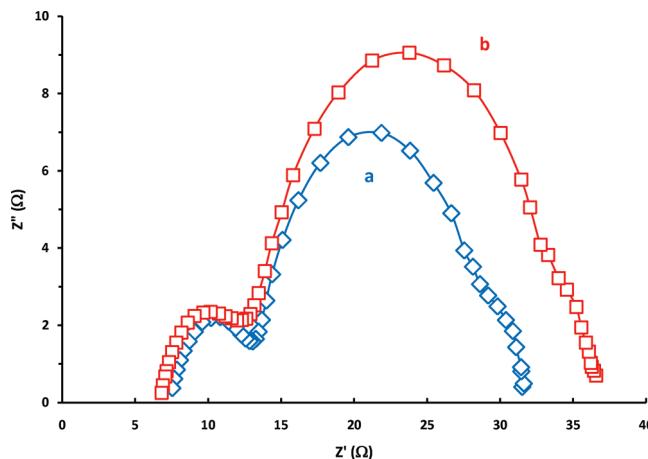


Figure 4. Electrochemical impedance spectra of DSSCs composed of photoanodes of (a) sample 3–15 and (b) MWNT-removed sample 3–15 in the bottom layer.

The best composition was obtained with a bottom layer containing 0.01 wt % MWNT and 15 wt % 140 nm  $\text{TiO}_2$  and with a top layer of only 21 nm  $\text{TiO}_2$ .

## EXPERIMENTAL PROCEDURES

A predetermined amount of 21 nm (Degussa), 140 nm (Aldrich), and 210 nm (Cosmo Chem., Korea) of  $\text{TiO}_2$  were dispersed in ethanol and mixed with 1.0 mL of ethanol containing COOH-functionalized MWNT (Nanostructured & Amorphous Materials Inc., 10–20 nm outer diameter, 10–30  $\mu\text{m}$  length, and 1.9–2.1% functionalization). The average particle size of  $\text{TiO}_2$  was determined using Scherrer's equation from X-ray diffraction. Titanium(IV) isopropoxide (Aldrich, TTIP) of 0.08 mol was added to a  $\text{TiO}_2$ /MWNT mixture in ethanol, containing 1.00 g of  $\text{TiO}_2$ . The film thickness was controlled by using ethanol to change the solid content of dispersions. A double layer configuration was produced using the doctor blade method. Immediately after tip sonication of the mixture for 10 min, the

bottom layer was first coated on a cleaned FTO, which was followed by a top layer coating on the completely dried  $\text{TiO}_2$  bottom layer.

The  $\text{TiO}_2$  compositions of bottom layers were varied ranging from 0.7 g  $\sim$  1.0 g for 21 nm and 0.0 g  $\sim$  0.3 g for both 140 and 210 nm particles for a total of 1.0 g.  $\text{TiO}_2$  mixtures were added to different volumes of ethanol (6 mL  $\sim$  20 mL). TTIP of 0.290 mL and MWNT of various amounts were added into  $\text{TiO}_2$ -dispersed ethanol. The greatest content of MWNT was limited to 2 mg. The total number of cases for the bottom layer was 512 ( $2^8$ ). The  $\text{TiO}_2$  compositions for top layers were also varied in a wider range. The contents of 3 kinds of  $\text{TiO}_2$  were all allowed to change from 0.0 g  $\sim$  1.0 g, maintaining a total  $\text{TiO}_2$  of 1.0 g. The procedure for the bottom layer was repeated with a constant volume of ethanol (20.0 mL). The detailed decision parameters presented in Supporting Information indicate a total number of cases of 65,536 ( $2^8$  and 2<sup>7</sup> for the bottom and the top, respectively). This means that, without the aid of a statistical tool, a total number of samples of more than a few hundred thousand should be prepared to determine the optimal composition since multiple cells for each composition are required to establish consistency.

The counter electrode was prepared by applying 2 drops of 5 mM  $\text{H}_2\text{PtCl}_6$  on FTO and by sintering at 400 °C for 15 min. The Pt counter and  $\text{TiO}_2$  electrodes were assembled face-to-face, using hot melting foil (Solaronix SX1170–60) sandwiched between two electrodes. The space between the electrodes was filled with an electrolyte solution through two holes. The two holes were then completely sealed using Surlyn film and cover glass. The electrolyte solution contained 0.2 M lithium iodide, 0.05 M iodine, 0.5 M *t*-butylpyridine, and 0.7 M 1,2-dimethyl-3-propylimidazolium iodide in acetonitrile. The mask of a hole that was slightly larger ( $0.283 \text{ cm}^2$ ) than the active area of  $\text{N}_3/\text{TiO}_2$  ( $0.196 \text{ cm}^2$ ) was affixed to the photo-anode side.

The J-V characteristics of DSSCs were measured under simulated AM 1.5 solar illumination with  $100 \text{ mW cm}^{-2}$  (300 W Xenon lamp, Oriel Instruments). Electrochemical impedance spectroscopy (EIS) of DSSCs was carried out using a potentiostat/galvanostat (PARSTAT 2273). The EIS spectra were recorded

over a frequency range of 100 kHz to 0.1 Hz. An AC amplitude of  $\pm 10$  mV was applied using open-circuit voltage under irradiation. Film thickness was measured using a FESEM (Hitachi S4800) and a profilometer (Tencor Alpha-step 500).

## ■ ASSOCIATED CONTENT

**Supporting Information.** The processing parameter design and the list of detailed processing parameters, and objective functions ( $\eta$  and variance) for each generation. This material is available free of charge via the Internet at <http://pubs.acs.org>.

## ■ AUTHOR INFORMATION

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### Author Contributions

M.P. and K.-S.S. designed the experiments. S.K. and M.P. performed the experiments. K.-S.S. coded computational programs and performed the computations. K.-S.S. and M.P. co-wrote the manuscript and the Supporting Information.

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